



orney Docket No. 5308-310

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Gerald H. Negley et al.
Application Serial No.: 10/659,240
Filed: September 9, 2003

Confirmation No.: 3504
Group Art Unit: 3673

For: TRANSMISSIVE OPTICAL ELEMENTS INCLUDING TRANSPARENT PLASTIC
SHELL HAVING A PHOSPHOR DISPERSED THEREIN, AND METHODS OF
FABRICATING SAME

February 24, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. § 1.97(b)**

Sir:

Attached is a form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the waiver by the U.S. Patent and Trademark Office of requirements under 37 C.F.R. § 1.98(a)(2)(i) for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003. This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(b), within three months of the filing date of the above-referenced application or before the mailing of a first Office Action on the merits, whichever event occurs last. Therefore, no fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,

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Susan E. Freedman
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Substitute form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

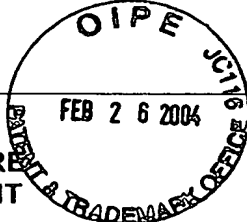
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**Complete if Known**

Application Number	10/659,240
Filing Date	09/09/2003
First Named Inventor	Gerald H. Negley
Group Art Unit	3673
Examiner Name	
Attorney Docket Number	5308-310

U.S. PATENTS AND PATENT PUBLICATIONS

Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
	1.	US-2003/0173575	A1	Eisert et al.	09/18/2003
	2.	US-6,404,125	B1	Garbuzov et al.	06/11/2002
	3.	US-6,373,188	B1	Johnson et al.	04/16/2002
	4.	US-6,329,676	B1	Takayama et al.	12/11/2001
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T
		Office	Number	Kind Code (if known)			
	5.	WO	01/61764	A1	Osram Opto Semiconductors GMBH & Co. OHG.	08/23/2001	

OTHER NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
	6.	International Search Report, PCT/US03/27912, 01/30/2004	

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.